

Sheet 1 of 1

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| Substitute for form 1449/PTO, based on PTO/SB/09A and 08B INFORMATION DISCLOSURE STATEMENT BY APPLICANT | Application Number | 10/660,382 |
| | Filing Date | September 10, 2003 |
| | First Named Inventor | Graetz et al. |
| | Art Unit | 1795 |
| | Examiner Name | Lee, Cynthia K |
| | Attorney Docket Number | 26-06 |

Confirmation No. 6022

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U.S. PATENT DOCUMENTS

| Examiner Initial* | Cite No. ¹ | Document Number (US-) | Publication Date (MM-DD-YYYY) | Name | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear (or entire document unless noted otherwise) |
|-------------------|-----------------------|-----------------------|-------------------------------|---------------|---|
| | 1 | 2003/0013019 | 01/16/2003 | Barker et al. | |

FOREIGN PATENT DOCUMENTS

| Examiner Initial* | Cite No. ¹ | Foreign Patent Document Number (include WIPO country code) | Publication Date (MM-DD-YYYY) | Name | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear (or entire document unless noted otherwise) | T ² |
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NON-PATENT LITERATURE DOCUMENTS

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| | | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | |
| | 2 | Hashimoto et al. (2004) "Preparation of Li ₄₋₄ Ge ₄ Si _{1-x} alloys by mechanical milling process and their properties as anode materials in all-solid-state lithium batteries," Science Direct, Solid State Ionics 175, pp. 177-180 | |

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| Examiner Signature | | Date Considered | |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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